

## IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

pplication of: Patel

Serial No: 10/074,975

Confirmation No: 6901

Filed: 13 February 2002

Examiner: not yet assigned

Mail Stop Patent Application **Commissioner for Patents** 

Alexandria, VA 22313-1450

Group Art Unit: 3738

For: "IMPLANT SYSTEM FOR GLAUCOMA SURGERY"

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

Date: 29 August 2003

Name: Valerie Russell

Signature:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

RECEIVED SEP 0 5 2003

**TECHNOLOGY CENTER R3700** 

Sir:

P.O. Box 1450

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §1.56, 1.57 and 1.98), enclosed are copies of the documents identified on accompanying Form PTO/SB/08A. Applicant does not concede that these materials constitute prior art within the meaning of the United States patent statutes.

Applicant requests that the listed references be considered during prosecution of this application and that the references appear among the "References Cited" on any patent issuing from the application.

Respectfully submitted,

ALCON RESEARCH / ITD

Jeffrey S. Schira

Registration No. 34,922

Address for Correspondence: Jeffrey S. Schira R&D Legal Counsel, Q-148 Alcon Research, Ltd.

6201 South Freeway Fort Worth, Texas 76134-2099

Phone: (817) 551-3063

Attorney Docket No.: 1746 US

PTO/SB/08A (04-03)

Approved for use through 04/30/2003, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Attorney Docket Number

THAU Substitute for form 1449/PTO

Complete if Known Application Number 10/074,975 Filing Date 13 February 2002 First Named Inventor Patel Art Unit 3738 **Examiner Name** not yet assigned

1746

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 **U. S. PATENT DOCUMENTS** Examiner Cite Document Number Publication Date Name of Patentee or Pages, Columns, Lines, Where Initials\* MM-DD-YYYY No. Applicant of Cited Document Relevant Passages or Relevant Figures Appear Number-Kind Code<sup>2 (# known)</sup> US- 2002/0013546 A1 Α1 01-31-2002 Grieshaber et al <sup>US-</sup> 6,375,642 A2 04-23-2002 Grieshaber et al US-6,464,724 Lynch et al A3 10-15-2002 US- 6,450,984 09-17-2002 A4 Lynch et al Α5 US-A6 RECEIVED Α7 US-**8A** SEP 0 5 2003 US-Α9 US-A10 TECHNOLOGY CENTER R3700 US-A11 ŪS-A12 US-A13 US-A14 US-A15 US-A16 US-A17 US-A18 US-A19

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>			
	A20								
	A21								
	A22								
	A23								
	A24								
	A25								

Examiner		Date	
Signature		Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.